

## AMSTER, ROTHSTEIN &amp; EBENSTEIN

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Date: July 9, 2001

To: Customer Service Representative  
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From: Craig J. Arnold, Esq.

Re: (C/M) U.S. Patent Application Serial No. 08/833,838  
Title: PEPTIDES FOR THE TREATMENT AND DIAGNOSIS OF  
SYSTEMIC LUPUS ERYTHEMATOSUS  
Inventors: Bruce D. Gaynor, Betty A. Diamond,  
Matthew D. Scharff, and Philippe Valadon  
Filed: April 10, 1997  
Our File: 96700/451Pages: 4 Prepd. by: LMM Return to: ca Sent by: \_\_\_\_\_ Time: \_\_\_\_\_

Message:

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96700/451

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Bruce D. Gaynor, Betty A. Diamond, Matthew D. Scharff,  
and Philippe Valadon

Serial No.: 08/833,838

Filed: April 10, 1997

For: PEPTIDES FOR THE TREATMENT AND DIAGNOSIS  
OF SYSTEMIC LUPUS ERYTHEMATOSUS

Art Unit: 1644

Examiner: G.R. Ewoldt, Ph.D.

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I hereby certify that this correspondence is being  
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Lisa M. Melanson  
Signature

\_\_\_\_\_  
Lisa M. Melanson

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Applicants respectfully request correction of the Filing Receipt for the above-identified application (a copy of which is attached hereto).

Please note that the name and residence of the fourth inventor was not included in the Filing Receipt, and should be corrected as indicated on the attached copy. Specifically, the name and residence of the fourth inventor should read "Philippe Valadon, New York, NY". An executed Declaration and Power of Attorney, containing the name and residence of inventor Philippe Valadon, was filed with the U.S. Patent and Trademark Office on December 3, 1997, and an executed Assignment containing same was recorded at the U.S. Patent and Trademark Office on December 8, 1997, at Reel 8843, Frame 0362.

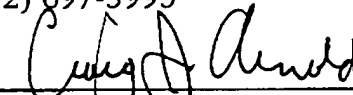
Applicants: Bruce D. Gaynor, Betty A. Diamond, Matthew D. Scharff,  
and Philippe Valadon  
Serial No.: 08/833,838  
Filed: April 10, 1997  
Page 2

No fee is deemed necessary in connection with the filing of this Request. If any fee is required, however, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 01-1785.

Respectfully submitted,

AMSTER, ROTHSTEIN & EBENSTEIN  
Attorneys for Applicants  
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(212) 697-5995

By



Craig J. Arnold

Registration No. 34,287

Dated: New York, New York  
July 9, 2001

PTO-103X  
(Rev. 6-89)

## FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
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Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/833,838	04/10/97	1911	\$953.00	96700/451	5	53	12

AMSTER ROTHSTEIN & EBENSTEIN  
90 PARK AVENUE  
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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) BRUCE D. GAYNOR, PELHAM, NY; BETTY A. DIAMOND, BRONX, NY; MATTHEW D. SCHARFF, LARCHMONT, NY; Philippe Valadon, New York, NY

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 08/531,832 09/20/95 PAT 6,001,964

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 02/11/00 \*\* SMALL ENTITY \*\*  
TITLE  
PEPTIDES FOR THE TREATMENT AND DIAGNOSIS OF SYSTEMIC  
LUPUS ERYTHEMATOSUS

PRELIMINARY CLASS: 435

DATA ENTRY BY: BATES, DIANE

TEAM: 03 DATE: 02/15/00

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(See reverse for new important information)

APPLICATION NUMBER 08/833,838	FILING DATE 04/10/1997	CLASS 530	GROUP ART UNIT 1644	ATTORNEY DOCKET NO 96700/451
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APPLICANT  
 BRUCE D GAYNOR, PELHAM, NEW YORK; BETTY A DIAMOND, BRONX, NEW YORK;  
 MATTHEW D SCHARFF, LARCHMONT, NEW YORK; PHILIPPE VALADON, NEW YORK,  
 NEW YORK.

\*\*CONTINUING DOMESTIC DATA\*\*\*\*\*  
 VERIFIED THIS APPLN IS A CIP OF 08/531,832 09/20/1995 PAT 6,001,964

\*\*371 (NAT'L STAGE) DATA\*\*\*\*\*  
 VERIFIED

\*\*FOREIGN APPLICATIONS\*\*\*\*\*  
 VERIFIED

SMALL ENTITY

Foreign priority claimed <input type="radio"/> yes <input type="radio"/> no 35 USC 119 (a-d) conditions met <input type="radio"/> yes <input type="radio"/> no <input type="radio"/> Met after Allowance Verified and acknowledged _____ Examiner's Name Initials	STATE OR COUNTRY NY	SHEETS DRAWINGS 5	TOTAL CLAIMS 53	INDEPENDENT CLAIMS 12
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ADDRESS  
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 NEW YORK , NY 10016

TITLE  
 PEPTIDES FOR THE TREATMENT AND DIAGNOSIS OF SYSTEMIC LUPUS ERYTHEMATOSUS

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